RECEIVEL TEC MAIL CENTER .

2019 JUL -9 PM 12: 09

GRANDE OF & ENERAL COUNSEL

2119 <u>111</u> -7 PM 1:52

OGE Form 278e (Updated Jan. 2019) (Expires 12/31/21)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)
Report Type: Candidate
Year (Annual Report only):
Date of Appointment/Termination:

UNITED STATES OFFICE OF GOVERNMENT ETHICS

Preventing Conflicts of Interest in the Executive Branch

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

F1. 1. 1. C				
Filer's Information				
Last Name	First Name	MI	Position	Agency .
BIDEN, JR.	JOSEPH	R.	CANDIDATE FOR PRESIDENT	
Other Federal Government Po	sitions Held During the Preceding 1	2 Months:		
- V				
Name of Congressional Comm	nittee Considering Nomination (Non	ninees only):		
/1	1		3	
	that the statements I have made in the	is report are true,	complete and correct to the best of my knowledge);
Signature:	ader y		Date: 7-1/1	
Agency Pthics Official's Onini	ion - On the basis of information con	ntained in this rend	ort, I conclude that the filer is in compliance with	applycable laws and regulations
(subject to any comments belo	w)	manied in this tope	sic, i conclude that the first is in compilation with	applicable laws and regulations
Signature:		1 200	Date:	
	*			
Other Review Conducted By:	- Alleria de la companya della companya della companya de la companya de la companya della compa			X-
Signature:			Date:	
			9	
U.S. Office of Government Eth	nics Certification (if required):			
Signature:			Date:	
	*		M.	
Comments of Reviewing Offic	ials:			
	·			

File	er's Name	=			Page Number	
	eph R. Biden, Jr.				20	f 15
Pa	rt 1: Filer's Positions Held Outs	side United States	Government			
# .	Organization Name	City/State	Organization Type	Position Held	From	То
1.	CelticCapri Corp	Wilmington, DE	S-Corporation	President	2/2017	Present
2.	University of Pennsylvania	Philadelphia, PA	Private University	Benjamin Franklin Presidential Practice Professor (unpaid leave of absence since April 2019)	2/2017	Present
	Biden Cancer Initiative	Washington, D.C.	Non-Profit Entity	Member of the Board (all times listed); Chair of the Board (May 2017 - June 2017); Co-Chairof the Board (June 2017 April 2019)	5/2017	4/2019
4.						
5.						
6.						
7.						
8.						
9.						
10.						
11.						
12.						
13.						
14.						
15.						
16.						
17.						-

	er's Name					Page Number
0	seph R. Biden, Jr.					3 of 15
8	rt 2: Filer's Employment Assets & Income and Retir	ement A	ccounts	4		
		EIF	Value	Income Type	Income Amount	
800	CelticCapri Corp	N/A		salary		\$425,000
	University of Pennsylvania	N/A		salary		\$540,484
	IRA #1:	No		cash distributions		\$950
	- U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)	
	TIAA-CREF Lifecycle Index 2010 Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)	
	"Promises to Keep," Random House Publishers (value not readily ascertainable)	N/A		rent or royalties	None (or less than \$201)	
	"Promise Me, Dad," Macmillan Publishers (value not readily ascertainable)	N/A		rent or royalties	None (or less than \$201)	
	CelticCapri Corp, S-Corp (speaking and writing engagements)	N/A	\$1,000,001 - \$5,000,000	business income		\$2,780,479
	- Book Tour Event Arlene Schnitzer Concert Hall, Portland, OR, 11/30/17 (payment made by Literary Arts)	N/A		honorarium		\$25,000
).	- Book Tour Event Atlanta Symphony Hall, Atlanta, GA, 6/8/18 (payment made by Robert W Woodruff Arts Center, Inc.)	N/A		honorarium		\$90,000
	- Book Tour Event Avery Fisher Hall, Lincoln Center, New York, NY, 11/13/17 (payment made by Live Nation World Wide, Inc.)	N/A		honorarium		\$27,726
	- Book Tour Event Flynn Center, Burlington, VT, 12/9/18 (payment made by Flynn Center for the Performing Arts)	N/A		honorarium		\$67,830
•	- Book Tour Event Chicago Theatre, Chicago, IL, 12/11/17 (payment made by Outback Concerts of Tennessee)	N/A		honorarium		\$91,741
•	TX, 12/4/18 (payment made by AEG Presents LLC)	N/A		honorarium		\$42,648
×	Missoula, MT, 12/3/18 (payment made by Bravo Entertainment LLC)	N/A		honorarium	1	\$43,155
	- Book Tour Event Miami Book Fair, Adrienne Arsht Center, Miami, FL, 11/18/17 (payment made by Miami Dade College Foundation)	N/A		honorarium		\$8,040

File	er's Name	5	F		a a	Page Number
Jos	seph R. Biden, Jr.					4 of 15
Pa	rt 2: Filer's Employment Assets & Income and Retir	ement Ac	ccounts			
#	Description	EIF	Value	Income Type	Income Amount	
17.	(payment made by Live Nation Worldwide, Inc.)			honorarium		\$47,957
18.	(payment made by ASCH-JV LLC)	N/A		honorarium	197	\$42,750
19.	- Book Tour Event Orpheum Theatre, Madison, WI, 12/10/17 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium		\$41,443
20.	- Book Tour Event Orpheum Theatre, Minneapolis, MN, 12/9/17 (payment made by Outback Concerts of Tennessee, Inc.)	N/A		honorarium		\$64,050
21.	- Book Tour Event Paramount Theatre, Austin, TX 12/8/17 (payment made by Austin Theatre Alliance)	N/A		honorarium		\$38,250
22.	- Book Tour Event Paramount Theatre, Denver, CO, 12/2/17 (payment made by Outback Concerts of Tennessee, Inc.)	N/A		honorarium		\$56,250
23.	- Book Tour Event Schermerhorn Symphony Center, Nashville, TN, 11/19/17 (payment made by Nashville Symphony)	N/A		honorarium		\$47,250
24.	- Book Tour Event Smart Financial Centre, Sugar Land, TX, 12/7/17 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium		\$47,250
25.	- Book Tour Event Grand Opera House, Wilmington, DE, 6/10/18 (payment made by Grand Opera House, Inc.)	N/A		honorarium		\$39,357
26.	- Book Tour Event The Kentucky Center - Whitney Hall, Louisville, KY, 6/7/18 (payment made by Kentucky Center for the Arts)	N/A		honorarium		\$44,285
27.	- Book Tour Event Wilbur Theatre, Boston, MA, 12/4/18 (payment made by Associates and Leisure Activities)	N/A		honorarium		\$57,474
28.	- Book Tour Event Thomas Wolfe Auditorium, Asheville, NC, 12/14/17 (payment made by ASCH-JV LLC)	N/A		honorarium	-	\$39,060
29.	- Book Tour Event Chevalier Theatre, Medford, MA, 2/1/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium		\$21,540
30.	(payment made by My Party Venue LLC)	N/A	8	honorarium		\$106,372
31.	- Book Tour Event Orpheum Theatre, Los Angeles, CA, 1/10/18 (payment made by Tribune Publishing)	N/A		honorarium	*	\$47,250

File	er's Name	n a g			Page Number
Jos	seph R. Biden, Jr.				5 of 15
Pa	rt 2: Filer's Employment Assets & Income and Retir	ement A	ccounts		
#	Description	EIF	Value	Income Type Income Am	ount
32.	- Book Tour Event Riverside Theatre, Milwaukee, WI, 2/11/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium	\$64,620
33.	- Book Tour Event Dr. Phillips Center, Orlando, FL, 1/29/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium	\$77,400
34.	- Book Tour Event Carnegie Music Hall, Pittsburgh, PA, 2/12/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium	\$42,163
35.	- Book Tour Event Merrill Auditorium, Portland, ME, 1/31/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium	\$42,145
36.	- Book Tour Event Warner Theatre, Washington, DC, 11/17/17 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$40,872
37.	- Book Tour 2018 VIP Experience (paid through CAA Premium Experience)	N/A		honorarium	\$173,005
38.	 Book Tour 2017 VIP Experience (paid through CAA Premium Experience) 	N/A		honorarium	\$234,820
39.	 Speaking Engagement San Francisco, CA, 1/9/18 (payment made by Jewish Community Center of San Francisco) 	N/A		honorarium	\$112,050
40.	- Speaking Engagement Fusco Distinguished Lecture Series, New Haven, CT, 3/23/18 (payment made by Southern Connecticut State University Foundation, Inc.)	N/A		honorarium	\$124,515
11.	- Speaking Engagement LIU Global Institute Speaker Series, Brooklyn, NY, 3/27/18 (payment made by Long Island University)	N/A		honorarium	\$100,000
12.	- Speaking Engagement Thomas H. Kean Visiting Lecture, Madison, NJ, 3/28/18 (payment made by Drew University)	N/A		honorarium	\$190,000
13.	- Speaking Engagement Chancellor's Lecture Series, Nashville, TN, 4/10/18 (payment made by Vanderbilt University)	N/A		honorarium	\$180,000
14.	- Speaking Engagement St. Petersburg, FL, 6/4/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$103,500
5.	- Speaking Engagement New Orleans, LA, 6/5/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$108,000
6.	- Speaking Engagement Durham, NC, 6/6/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$89,433
7.	- Speaking Engagement Charlotte, NC, 6/13/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$86,000
18.	 Speaking Engagement St. Louis, MO, 6/14/18 (payment made by Live Nation Worldwide, Inc.) 	N/A		honorarium	\$66,000

File	r's Name			8 =		Page Number
os	eph R. Biden, Jr.		e' .			6 of 15
a	rt 2: Filer's Employment Assets & Income and Retir	ement A	ccounts	6		
	Description	EIF	Value	Income Type	Income Amount	
9.	- Speaking Engagement The Economic Club of SW Michigan Speakers Series, Benton Harbor, MI, 10/16/18 (payment made by Lake Michigan College)	N/A		honorarium		\$182,679
).	- Speaking Engagement University of Buffalo's Distinguished Speakers Series, Buffalo, NY, 10/25/18 (payment made by UB Foundation)	N/A		honorarium		\$179,489
1.	- Speaking Engagement MPSF Speaker Series, San Rafael, 11/26/18 (payment made by MPSF, Inc.)	N/A		honorarium		\$123,826
2.	-Speaking Engagement MPSF Speaker Series, Oakland, 11/27/18 (payment made by MPSF, Inc.)	N/A		honorarium		\$123,826
3.	-Speaking Engagement MPSF Speaker Series, San Mateo, 11/28/18 (payment made by MPSF, Inc.)	N/A		honorarium		\$123,826
4.	-Speaking Engagement MPSF Speaker Series, San Rafael, 11/29/18 (payment made by MPSF, Inc.)	N/A		honorarium		\$123,826
5.	- Speaking Engagement Phoeniz, AZ, 11/30/18 (payment made by Eminent Series Group, LLC)	N/A		honorarium		\$181,000
5.	- Speaking Engagement Brown Lecture Board, Providence, RI, 12/10/18 (payment made by Brown University)	N/A		honorarium		\$92,642
7.	- Speaking Engagement Fort Lauderdale, FL, 1/28/19 (payment made by Performing Arts Center Authority)	N/A		honorarium		\$134,933
3.						
Э.	•				~	
).						
1.						
2.						
3.						
4.						
5.	*					

LAO	te. This is a public form. I	Jo not include acc	ount numbers, street addresses, or family member names. See instructions for required inform		
_	er's Name		P	age Num	
	seph R. Biden, Jr.				7 of 15
	rt 3: Filer's Employm				
# -	Employer or Party	City/State	Status and Terms		Date
1.	University of Pennsylvania	Philadelphia, PA	Unpaid leave of absence from University position		4/2019
2.	University of Pennsylvania	Philadelphia, PA	Continued participation in University's defined contribution plan. The University will not make further contribution plan. The University will not make further contribution plan.	itions	2/2017
3.					
4.					
5.			·		
5.	,	*			
7.					
8.		-	*		
9.	,				
10.	6				
11.					
12.	-				

VC	te: This is a public form. Do not inclu	de account numbe	ers, street addresses, or family member names. See instructions for re	equired information.
Fil	er's Name			Page Number
	seph R. Biden, Jr.			8 of 15
Pa	rt 4: Filer's Sources of Compens	sation Exceeding	g \$5,000 in a Year	
ŧ	Source Name	City/State	Brief Description of Duties	
l.	Not applicable	1		
2.				
۷.				
3.				
1.				
5.				
5.				
7.	30 5			
3.				
).				
10.			,	
11.				
2.				
13.				
4.				
5.				· · · · · · · · · · · · · · · · · · ·
6.				
L7				
8.				
9.				
		×		
20.		,		

File	r's Name					Page Number					
Jos	eph R. Biden, Jr.	ı	\(\frac{1}{2}\)			9 of 15					
Pa	Part 5: Spouse's Employment Assets & Income and Retirement Accounts Description EIF Value Income Type Income Amount										
#	Description	EIF	Value	Income Type	Income Amount						
1.	Giacoppa Corp	N/A		salary							
2.	Northern Virginia Community College	N/A		salary							
3.	DE Retirement System, 457 plan:	No	y								
4.	- American Funds 2015 Tdate R6	Yes	\$50,001 - \$100,000		None (or less than \$201)						
5.	DE Retirement System. 401a plan:	No									
6.	- American Funds 2015 Tdate R6	Yes	\$1,001 - \$15,000		None (or less than \$201)						
	DE Office of Pensions, defined benefit plan (value not readily ascertainable)	N/A		retirement payments							
8.	VA Retirement System, 457 plan:	No			,						
9.	- Balanced Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)						
10.	VA Retirement System, 401a plan:	No	*								
11.	- Balanced Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)						
12.	VA Retirement System, defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)						
	"Don't Forget, God Bless Our Troops" (value not readily ascertainable)	N/A		rent or royalties	\$1,001 - \$2,500						
14.	"Where the Light Enters" (value not readily ascertainable)	N/A		rent or royalties	None (or less than \$201)	-					
15.	Giacoppa Corp, S-Corp (speaking and writing engagements)	N/A	\$500,001 - \$1,000,000	business income	v						
16.	- "Where the Light Enters", Macmillan Publishers	N/A		advance							
17.	(payment made by Walnut Creek Chamber of Commerce & Visitor Bureau)	N/A		honorarium	,	\$36,000					
18.	made by Association for Supervision & Curriculum Development)	N/A		honorarium		\$33,783					
19.	- Speaking Engagement San Diego, CA, 4/9/18 (payment made by Ellucian Company LP)	N/A		honorarium		\$36,000					

_	er's Name				Page Numl	her
Jos	seph R. Biden, Jr.					of 15
	rt 5: Spouse's Employment Assets & Income and	Retir	ement Accounts			
	Description	EIF	Value	Income Type	Income Amount	
20.	(payment made by YWCA Greater Pittsburgh)	N/A	1	honorarium	\$36,000	
21.	- Speaking Engagement Portland, OR, 4/14/18 (payment made by Celebrity Talent Intl and Concordia University)	N/A		honorarium	\$36,000	
22.	 Speaking Engagement Los Altos Hills, CA, 4/20/18- 4/21/18 (payment made by Foothill - De Anza Community College District) 	N/A		honorarium	\$66,400	
23.	- Speaking Engagement Dallas, TX, 4/25/18 (payment made by Jewish Family Service of Delaware)	Ņ/A		honorarium	\$36,691	
24.	 Speaking Engagement Cubberly Lecture Series, Stanford, CA, 5/9/18 (payment made by Stanford University) 	N/A		honorarium	\$37,853	
25.	- Speaking Engagement Nashville, TN, 6/4/18 (payment made by Bigspeak, Inc.)	N/A		honorarium	\$45,450	
26.	- Speaking Engagement Founders' Dinner, Chicago, IL, 9/12/18 (payment made by Loyola University of Chicago)	N/A	*	honorarium	\$36,000	
27.	- Speaking Engagement San Francisco, CA, 10/5/18 (payment made by National Organization of Professional Athletes)	N/A		honorarium	\$40,500	
28.	- Speaking Engagement Seattle, WA, 10/12/18 (payment made by StriveTogether Inc.)	N/A		honorarium	\$36,000	
29.	- Speaking Engagement Newport News, VA, 10/24/18 (payment made by New Age Media Ventures LLC)	N/A	2	honorarium	\$40,500	
30.	- Speaking Engagement Baltimore, MD, 10/26/18 (payment made by Council of Great City Schools)	N/A		honorarium	\$36,000	
31.	- Speaking Engagement Atlanta, GA, 11/14/18 (payment made by the Atlanta Women's Foundation)	N/A		honorarium	\$38,682	
32.	- Speaking Engagement SAP North America, Newtown Square, PA, 11/26/18 (payment made by SAP America Inc.)	N/A		honorarium	\$45,948	
33.	- Speaking EngagementSan Antonio, TX, 4/5/19 (payment made by Junior League of San Antonio)	N/A		honorarium	\$37,048	
34.	- Speaking Engagement Phoenix, AZ, 5/10/19 (payment made by the Sandra Day O'Connor Institute)	N/A		honorarium	\$25,367	
35.						

	te: This is a public form. Do not include account hui er's Name	110013, 301	ect addresses, or fairing i	nember names. See n	istructions for required line	Page Number
Jo	seph R. Biden, Jr.					11 of 15
Pa	rt 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount	
١.	United States Senate FCU (cash)	N/A	\$1,001 - \$15,000	interest	None (or less than \$201)	
	Suntrust Bank (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)	
	M&T Bank (cash)	N/A	\$100,001 - \$250,000	interest	\$5,001 - \$15,000	
	Wilmington Savings and Fund Society (cash)	N/A	\$250,001 - \$500,000	interest	\$201 - \$1,000	_
	PNC Bank (cash)	N/A	\$100,001 - \$250,000	interest	\$201 - \$1,000	
:	New Castle County Schools Employee FCU (cash)	N/A	\$1,001 - \$15,000	interest	None (or less than \$201)	
	TD Bank (cash)	N/A	\$50,001 - \$100,000	interest	None (or less than \$201)	
	Security Benefit Group, variable annuity	No				
	- Invesco VI Health Care	Yes	\$1,001 - \$15,000		None (or less than \$201)	
0.	- Dreyfus IP Technology Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)	
1.	- Guggenheim VIF High Yield	Yes	\$1,001 - \$15,000		None (or less than \$201)	
2.	- Janus Hend VIT Enterprise	Yes	\$1,001 - \$15,000		None (or less than \$201)	
3.	- Janus Hend VIT Research	Yes	\$1,001 - \$15,000		None (or less than \$201)	
4.	- Western Asset Variable Global High Yield Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)	
5.	- MFS VIT Utilities	Yes	\$1,001 - \$15,000		None (or less than \$201)	
6.	- Guggenheim VIF Styleplus Mid Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)	
7.	- Guggenheim VIF Mid Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)	
8.	- Guggenheim VIF Small Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)	
9.	- Guggenheim VIF All Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)	
0.	Mass Mutual, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)	

Filer's Name										
Jos	seph R. Biden, Jr.					12 of 15				
Pa	art 6: Other Assets and Income									
	Description	EIF	Value		Income Amount					
21.	Mass Mutual, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)					
22.	Mass Mutual, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)					
23.	Mass Mutual, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)					
24.	Mass Mutual, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)					
25.	Mass Mutual, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)	Х				
26.										
27.					8					
28.					7					
29.	y									
30.										
31.										
32.				g.						
33.						¥				
34.										
35.				~						
36.	,			٠						
37.										
38.						9 //				
39.						Ti .				
40.										

	r's Name	Page Number					
	eph R. Biden, Jr.	13 of 15					
Part 7: Transactions							
#	Description	Type	Date	Amount			
	Not applicable						
2.			00				
3.		ve.					
4.							
5.							
6.							
7.							
8.	*						
9.				3			
10.							
11.							
12.							
13.							
14.							
15.				-			
16.							
17.							
18.							
19.							
20.							

Filer's Name									
Joseph R. Biden, Jr.									
Part 8: Liabilities									
#	Creditor Name	Туре	Amount	Year Incurred	Rate	Term			
1.		-	\$15,001 - \$50,000	1983	5-8%	open-ended			
2.	Oceanfirst Bank	Co-signer with son on LOC, renewable every 2 years	\$15,001 - \$50,000	1989	prime +1%	2 years			
3.									
4.									
5.									
6.	5								
7.						19			
8.									
9.					-				
10.									
11.	2								
12.					31 g				
13.									
14.					s	*****************			
15.	*								
16.									
17.									
18.									
19.	=		(a)						
20.		2							

	Filer's Name Page Number							
	loseph R. Biden, Jr. 15 of							
Part 9: Gifts and Travel Reimbursements								
	Source Name	City/State	Brief Description		Value			
	Not applicable							
2.								
3.								
4.								
5.								
6.	ε							
7.								
8.								
9.								
10.			,					
11.								
12.								
13.			,					
14.								
15.								
16.								
17.								
18.	ř							
19.					v			
20.								
_								